

(Classical) Time-Memory Tradeoffs for Subset Sum and Decoding
Master Thesis / Quantum Information Colloquium

Alexander Kulpe

Ruhr-University Bochum
Technology Innovation Institute Abu Dhabi

2024-04-23

Table of Contents

Motivation

Basics Subset Sum

Subset Sum Tradeoff

Basics Decoding

Decoding Tradeoff

Discussion: Quantum Potential

Table of Contents

Motivation

Basics Subset Sum

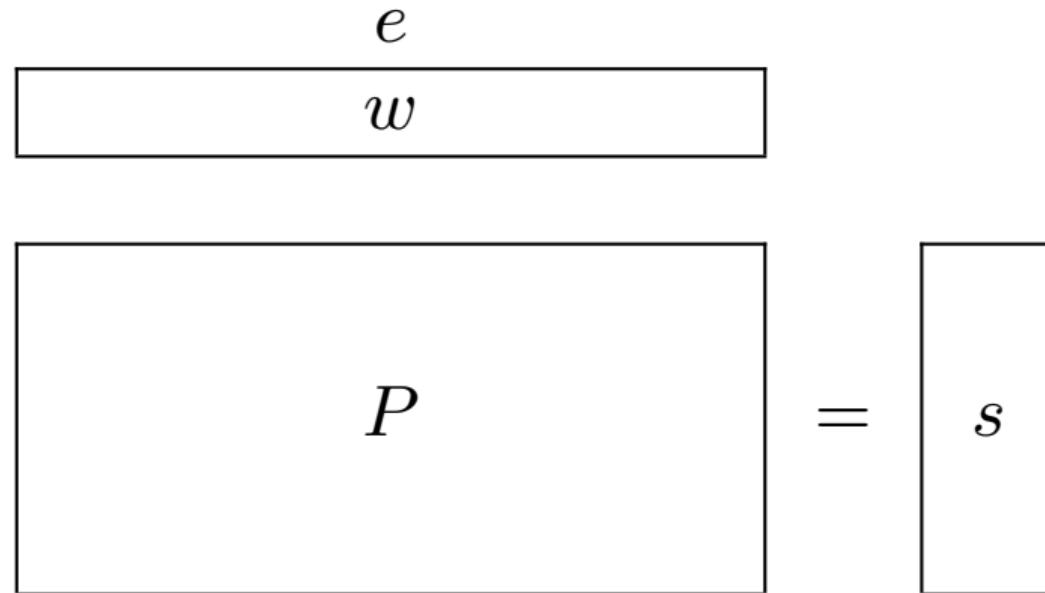
Subset Sum Tradeoff

Basics Decoding

Decoding Tradeoff

Discussion: Quantum Potential

Motivation: Codebased Cryptography



- can be thought of as a vectorial subset sum variant
- ⇒ Improvements for Subset Sum might help with Decoding

Table of Contents

Motivation

Basics Subset Sum

Subset Sum Tradeoff

Basics Decoding

Decoding Tradeoff

Discussion: Quantum Potential

Problem: RANDOM SUBSET SUM

- **Given:** $((a_1, \dots, a_n), t) \in (\mathbb{Z}_{2^n})^n \times (\mathbb{Z}_{2^n})^n$ with $t = \sum_{i=1}^n \varepsilon_i a_i \bmod 2^n$, $\varepsilon \in \{0, 1\}^n$ ($\frac{n}{2}$)
 - **Task:** Find valid ε
-
- Application in Cryptanalysis / ISD algorithms
 - Best algorithms are very memory-intensive
- ⇒ Time-Memory Tradeoffs

First Algorithms

Brute-Force

- **Time:** $\tilde{\mathcal{O}}(2^n)$
- **Memory:** $\tilde{\mathcal{O}}(1)$

Meet-in-the-Middle

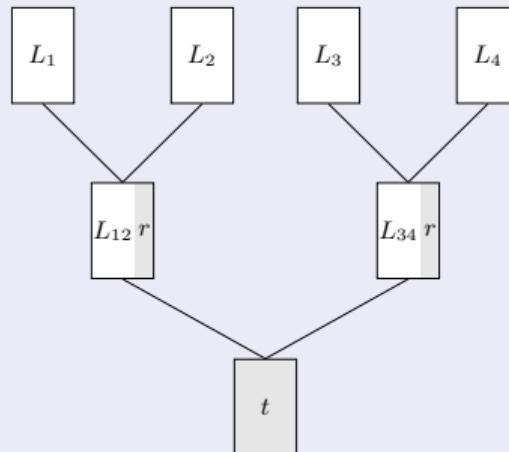
$$\sum_{i=1}^n \varepsilon_i a_i = t \bmod 2^n$$

$$\Leftrightarrow \sum_{i=1}^{\frac{n}{2}} \varepsilon_i a_i = t - \sum_{i=\frac{n}{2}+1}^n \varepsilon_i a_i \bmod 2^n$$

- **Time:** $\tilde{\mathcal{O}}\left(2^{\frac{n}{2}}\right)$
- **Memory:** $\tilde{\mathcal{O}}\left(2^{\frac{n}{2}}\right)$

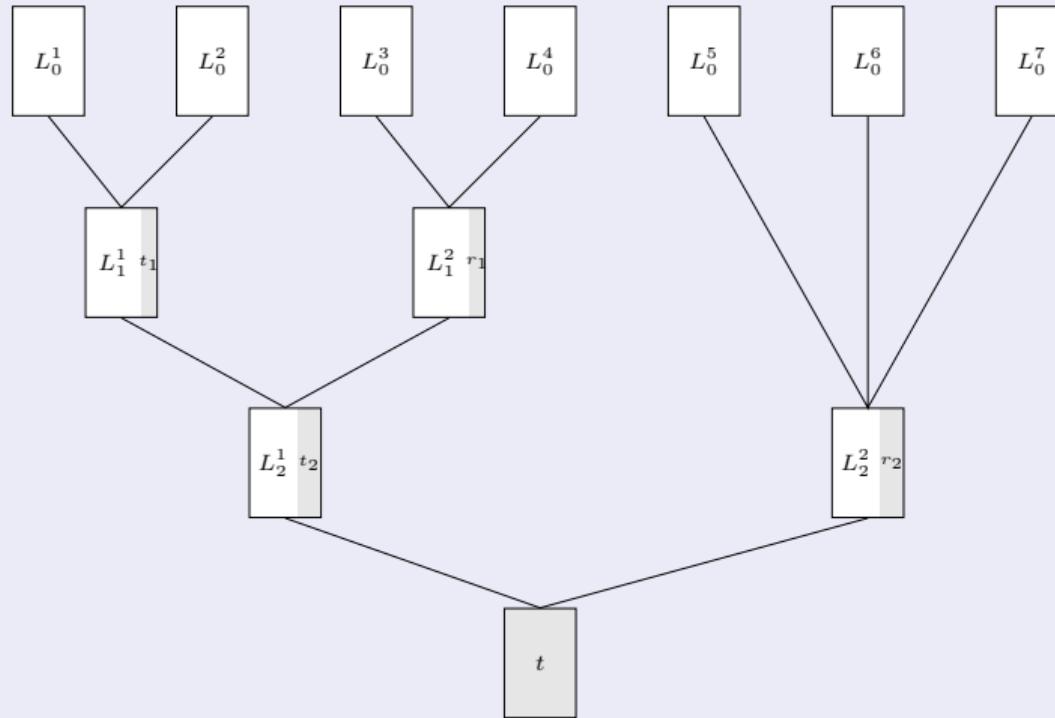
Schroeppel-Shamir

$$\sum_{i=1}^{\frac{n}{4}} \underbrace{\varepsilon_i a_i}_{L_1} + \sum_{i=\frac{n}{4}+1}^{\frac{n}{2}} \underbrace{\varepsilon_i a_i}_{L_2} = t - \sum_{i=\frac{n}{2}+1}^{\frac{3}{4}n} \underbrace{\varepsilon_i a_i}_{L_3} - \sum_{i=\frac{3}{4}n+1}^n \underbrace{\varepsilon_i a_i}_{L_4} \bmod 2^n$$



Time: $\tilde{\mathcal{O}}\left(2^{\frac{n}{2}}\right)$, **Memory:** $\tilde{\mathcal{O}}\left(2^{\frac{n}{4}}\right)$

7-Dissection



Time: $\tilde{\mathcal{O}}(2^{\frac{4}{7}n})$, **Memory:** $\tilde{\mathcal{O}}(2^{\frac{1}{7}n})$

Lemma
(7-Dissection-Tradeoff)

$\frac{1}{7} \leq \lambda \leq \frac{1}{4}$. RANDOM SUBSET SUM can be solved in expected Memory $M = \tilde{\mathcal{O}}(2^{\lambda n})$ and expected Time
 $T = \tilde{\mathcal{O}}(2^{\frac{2}{3}(1-\lambda)n})$.

Representation Trick

- **Idea:** Consider a larger search space with even more solutions
- Search Space MITM: $\mathcal{S} = \{0, 1\}^{\frac{n}{2}} \times \{0\}^{\frac{n}{2}}$
- Search Space Representations: $\mathcal{S} = \{0, 1\}^n \left(\frac{n}{4}\right)$
- Instead of one solution $\varepsilon \in \{0, 1\}^n \left(\frac{n}{2}\right)$ now $\binom{n/2}{n/4}$ -many representations $(\varepsilon_1, \varepsilon_2) \in \mathcal{S}^2$ with $\varepsilon = \varepsilon_1 + \varepsilon_2$

Example ($n = 8$)

- MITM: $\varepsilon = 10100110$
- Representation:

$$(10100000, 00000110) \\ (00100100, 10000010)$$

$$(10000100, 00100010) \\ (00100010, 10000100)$$

$$(10000010, 00100100) \\ (00000110, 10100000)$$

	MITM	Representations
$ \mathcal{S} $	$2^{\frac{n}{2}}$	$\binom{n}{n/4} = 2^{0.8113n}$
$\mathbb{E} \# \text{Solutions}$	1	$\binom{n/2}{n/4} = 2^{n/2}$

⇒ Consider only $2^{-n/2}$ -fraction of search space for a solution

Howgrave-Graham-Joux

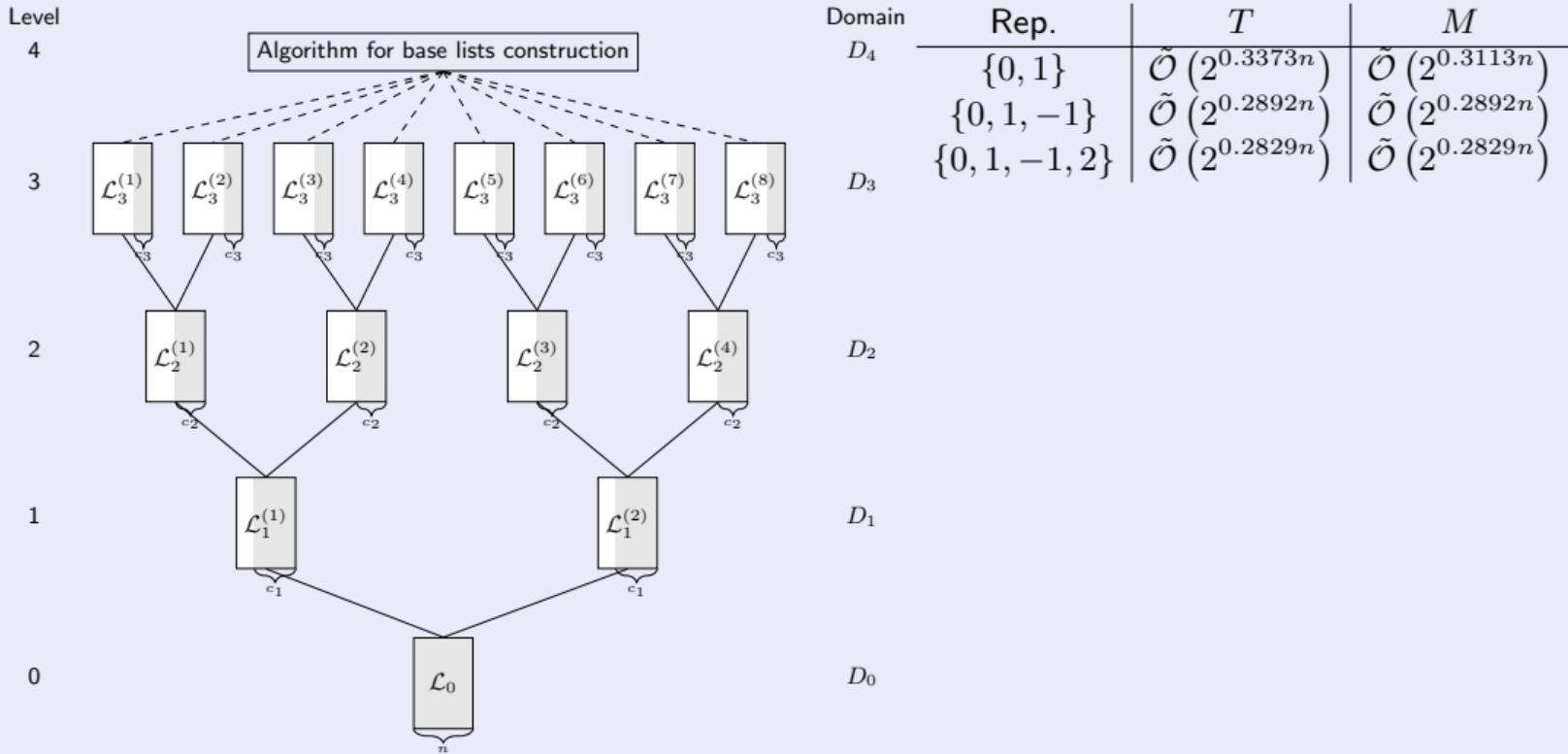


Table of Contents

Motivation

Basics Subset Sum

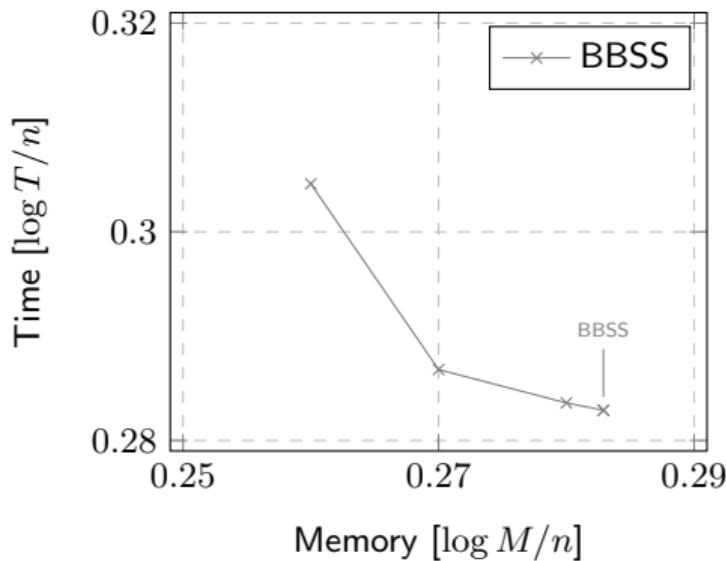
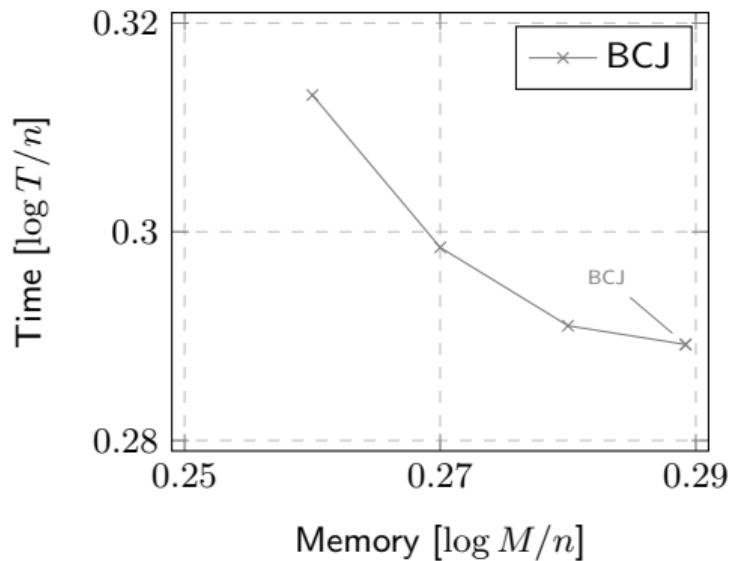
Subset Sum Tradeoff

Basics Decoding

Decoding Tradeoff

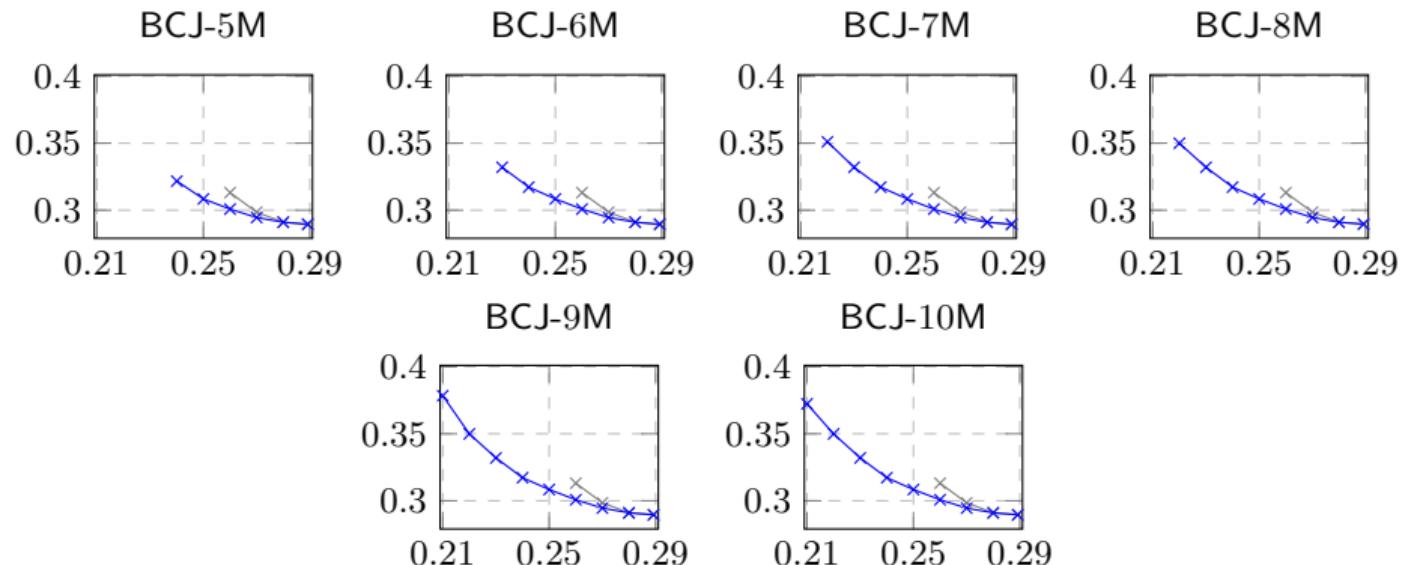
Discussion: Quantum Potential

Subset Sum Tradeoff: Implicit Tradeoff



- **Observation:** Higher Levels dominate Memory and Time complexity
- **Solution approaches:**
 - Increase depth of search tree
 - Swap the algorithm for base lists construction

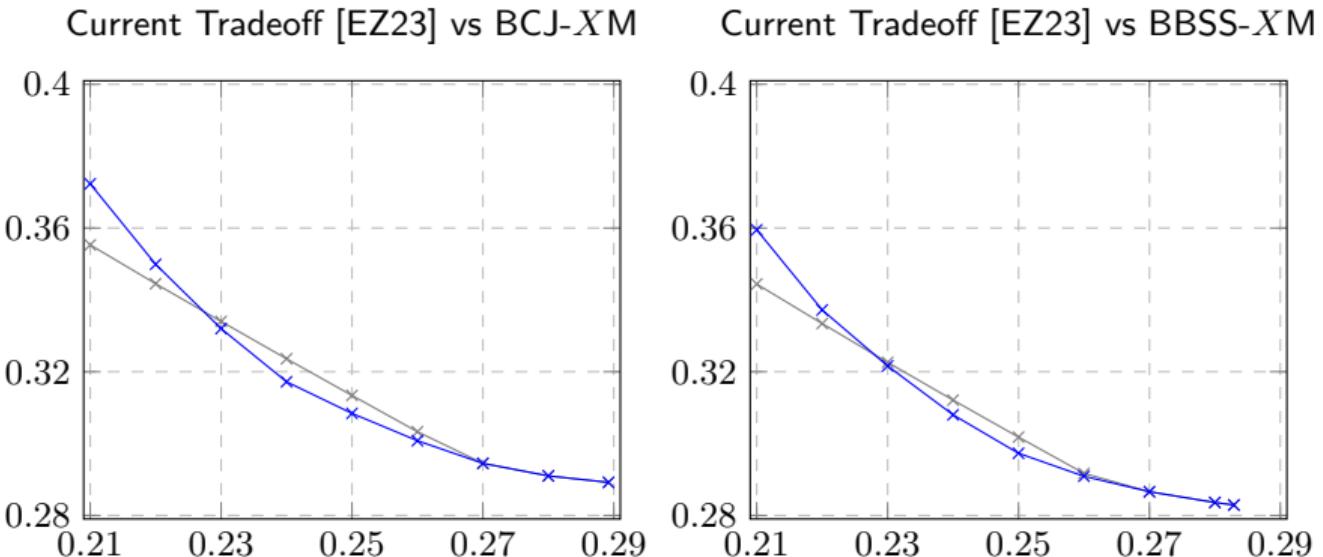
Subset Sum Tradeoff: Higher Depth I



- monotonically decreasing* and convergent

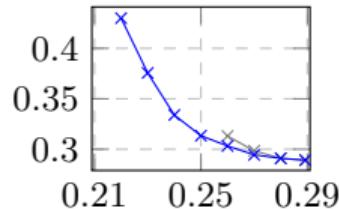
*Conditions apply

Subset Sum Tradeoff: Higher Depth II

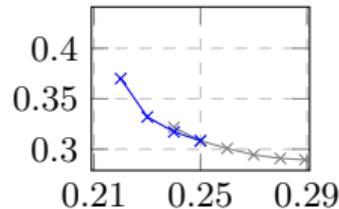


Subset Sum Tradeoff: Schroeppel-Shamir

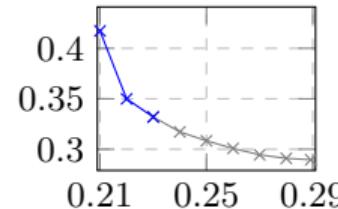
BCJ-4M vs BCJ-4S



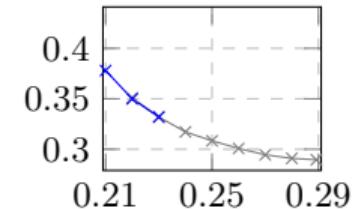
BCJ-5M vs BCJ-5S



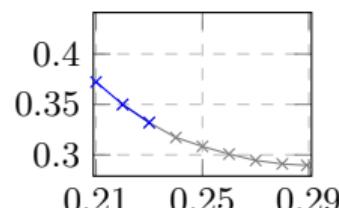
BCJ-6M vs BCJ-6S



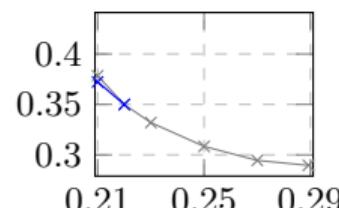
BCJ-7M vs BCJ-7S



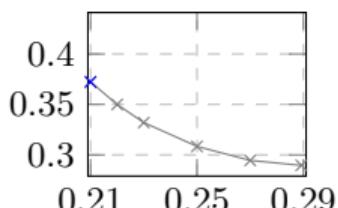
BCJ-8M vs BCJ-8S



BCJ-9M vs BCJ-9S

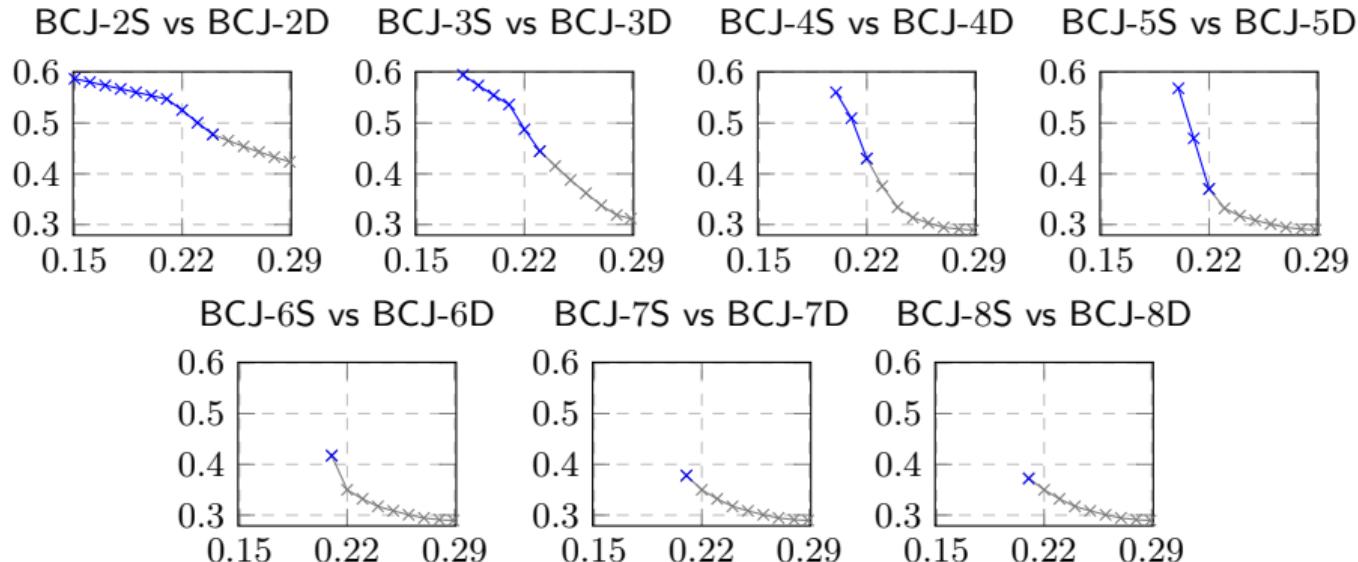


BCJ-10M vs BCJ-10S



- monotonically decreasing and convergent
- Schroeppel-Shamir for fixed depth $X < 10$ better than MITM
- $\text{BCJ-XM} = \text{BCJ-XS}$
- ⇒ Depth more important than algorithm for base lists construction

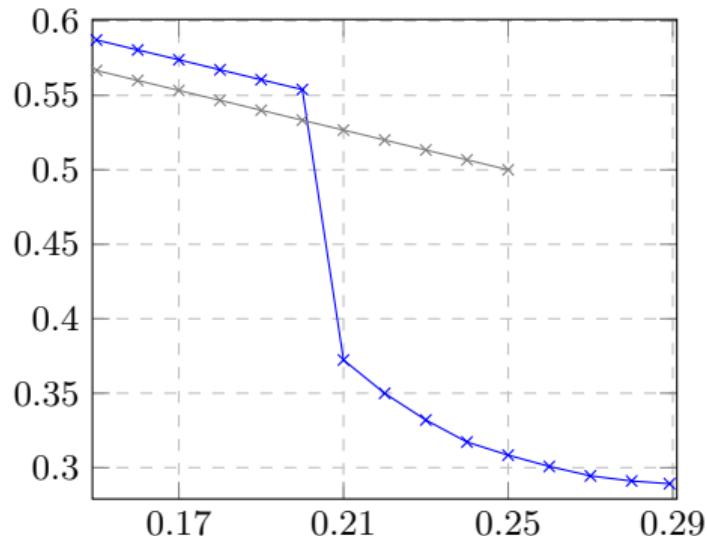
Subset Sum Tradeoff: 7-Dissection



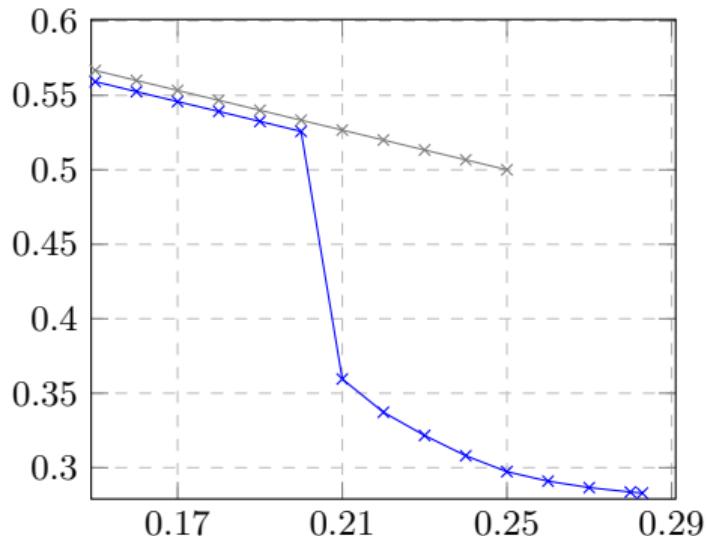
- $\log M \geq 0.21n$: monotonically decreasing and convergent
- $\log M \leq 0.20n$: base lists construction dominates time complexity
⇒ Smaller depth better (?)

Subset Sum Tradeoff: 7-Dissection II ($\log M \leq 0.20n$)

BCJ-XD vs 7-Dissection

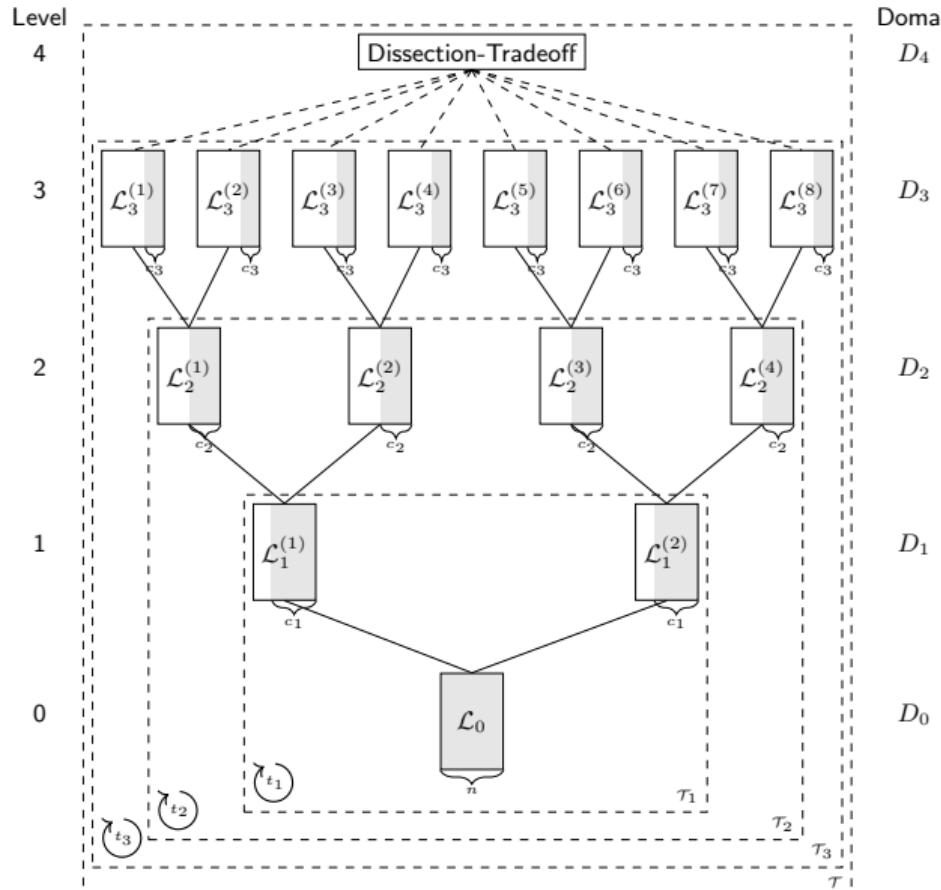


BBSS-XD vs 7-Dissection

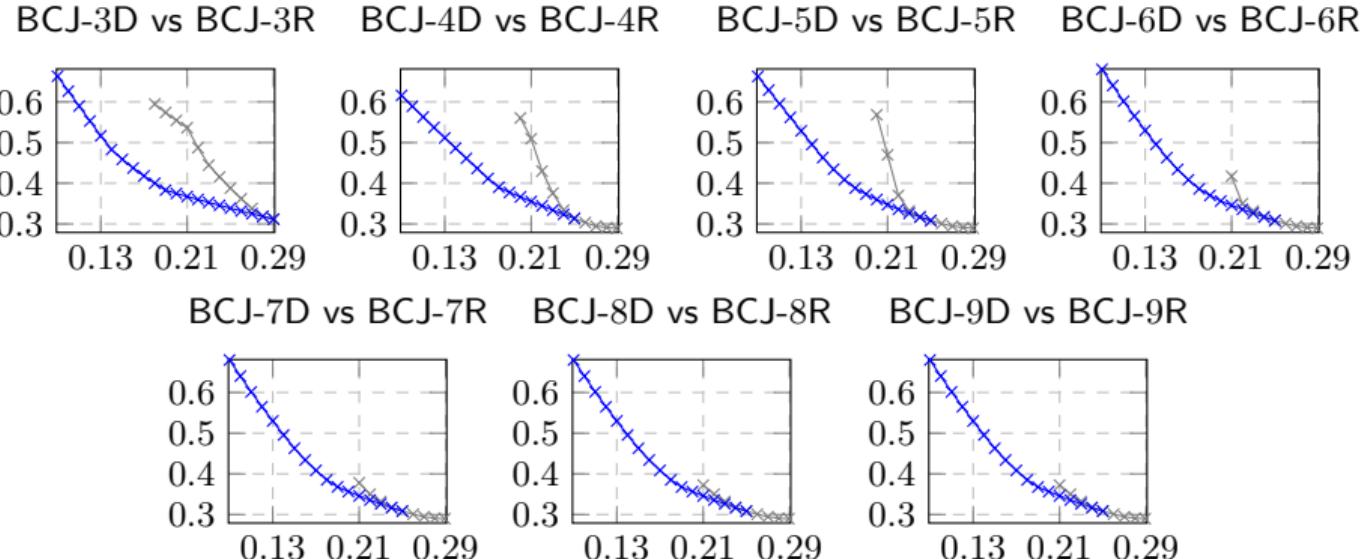


- BCJ: BCJ-XD worse than plain 7-Dissection
- BBSS: BBSS-XD better than plain 7-Dissection with optimal depth 3

Subset Sum Tradeoffs: Currently best Tradeoff / Reuse of already calculated subtrees [EZ23]



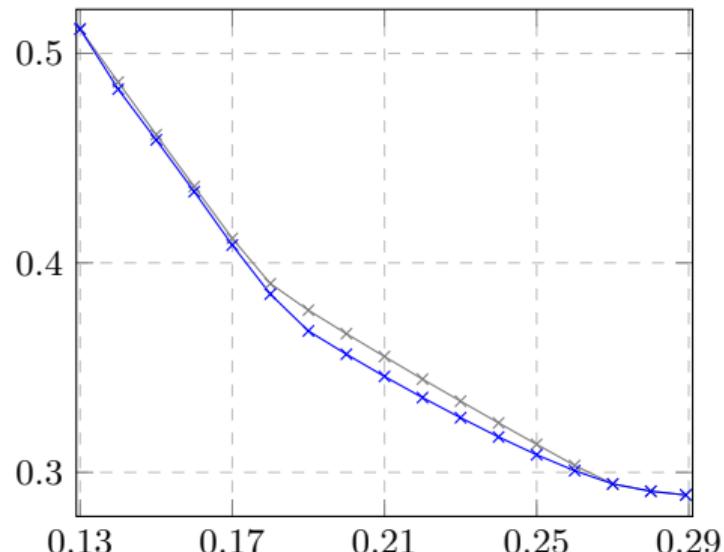
Subset Sum Tradeoffs: Reuse of already calculated subtrees



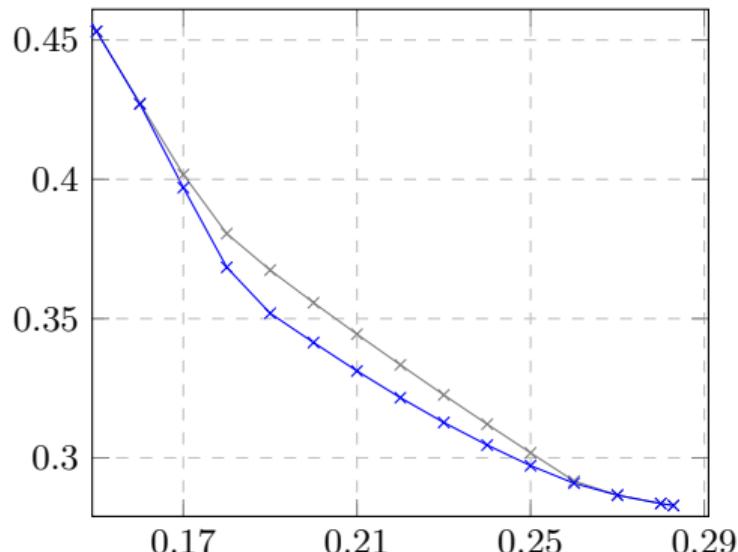
- $\log M \geq 0.19n$: monotonically decreasing and convergent
- $0.16n \leq \log M \leq 0.18n$: monotonically decreasing and convergent, lower optimal depth
- $\log M \leq 0.15n$: Base lists construction and lower lists in lower depth better balanced (BCJ: depth 3, 4, BBSS: depth 4)

Subset Sum Tradeoff: Contribution

New Tradeoff vs Current Tradeoff [EZ23]



New Tradeoff vs Current Tradeoff [EZ23]



- BCJ: Improvement of up to $\tilde{\mathcal{O}}(2^{0.0099n})$ / 2.68 %
- BBSS: Improvement of up to $\tilde{\mathcal{O}}(2^{0.0155n})$ / 4.22 %

Table of Contents

Motivation

Basics Subset Sum

Subset Sum Tradeoff

Basics Decoding

Decoding Tradeoff

Discussion: Quantum Potential

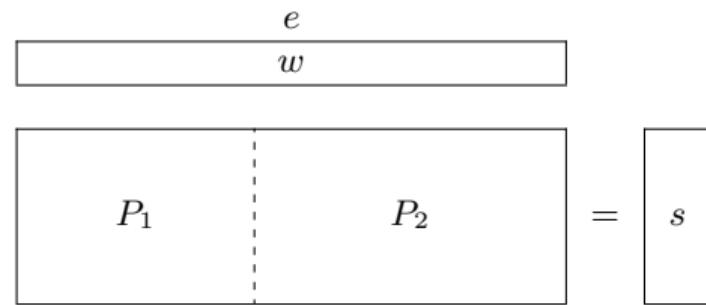
Syndrome Decoding

- linear $[n, k, d]$ -Code C : C is subspace of \mathbb{F}_2^n with length n , dimension k and distance d
- Parity-Check-Matrix P : $C = \{c \mid c \in \mathbb{F}_2^n, P c^t = 0\}$
- c code word, $x = c + e$ faulty codeword with error vector e
- Syndrome s : $s = Px^t = P(c^t + e^t) = Pe^t$

$$\begin{array}{c} e \\ \hline w \end{array} = \begin{array}{c} P \\ \hline s \end{array}$$

Syndrome Decoding Problem

- **Given:** Parity-Check-Matrix $P \in \mathbb{F}_2^{(n-k) \times n}$, Syndrom $s \in \mathbb{F}_2^{n-k}$, Weight w
- **Task:** Find error vector $e \in \mathbb{F}_2^n(w)$ s.t. $Pe^t = s$
- half distance: $w = \lfloor \frac{d-1}{2} \rfloor$
- full distance: $w = d - 1$



$$\begin{array}{c} e_1 \\ \hline w & | & 0 \end{array}$$
$$\begin{array}{c|c} I_{n-k} & P_1^{-1}P_2 \end{array} = \boxed{P_1^{-1}s}$$

- $e_1 + P_1^{-1}P_2e_2 = P_1^{-1}s$
 - If $e_2 = 0^k$ then $e_1 = P_1^{-1}s$
- ⇒ Permute P , s.t. $\text{wt}(e_1) = w$

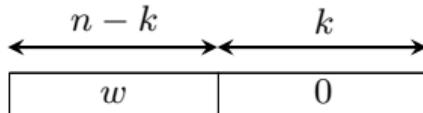
$$\begin{array}{c}
 \begin{array}{ccccc}
 & e_1 & & e_2 & \\
 \hline
 & w & : & 0 &
 \end{array} \\
 \\
 \boxed{I_{n-k} \quad \quad \quad P_1^{-1}P_2} = \boxed{P_1^{-1}s}
 \end{array}$$

- $e_1 + P_1^{-1}P_2e_2 = P_1^{-1}s$
 - If $e_2 = 0^k$ then $e_1 = P_1^{-1}s$
- ⇒ Permute P , s.t. $\text{wt}(e_1) = w$
- Time: $T = \Pr[\text{good permutation}]^{-1}$

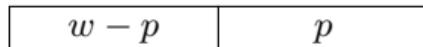
$$\begin{array}{c} e_1 \\ \hline w & : & 0 \end{array}$$
$$\begin{array}{c|c} I_{n-k} & P_1^{-1}P_2 \end{array} = \boxed{P_1^{-1}s}$$

- $e_1 + P_1^{-1}P_2e_2 = P_1^{-1}s$
 - If $e_2 = 0^k$ then $e_1 = P_1^{-1}s$
- ⇒ Permute P , s.t. $\text{wt}(e_1) = w$
- Time: $T = \Pr[\text{good permutation}]^{-1}$
 - Can we increase the probability of finding a good permutation

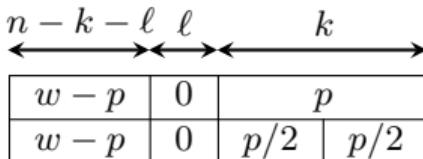
Prange:



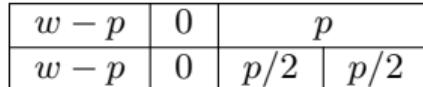
Lee-Brickell:



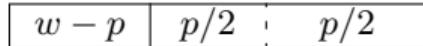
Leon:



Stern:



Finniasz/Sendrier:



MMT/BJMM:



MMT

- Representations:

$$1 = 0 + 1$$

$$1 = 1 + 0$$

$$0 = 0 + 0$$

- optimal depth: 2

BJMM

- Representations:

$$1 = 0 + 1$$

$$1 = 1 + 0$$

$$0 = 0 + 0$$

$$0 = 1 + 1$$

- optimal depth: 3

Table of Contents

Motivation

Basics Subset Sum

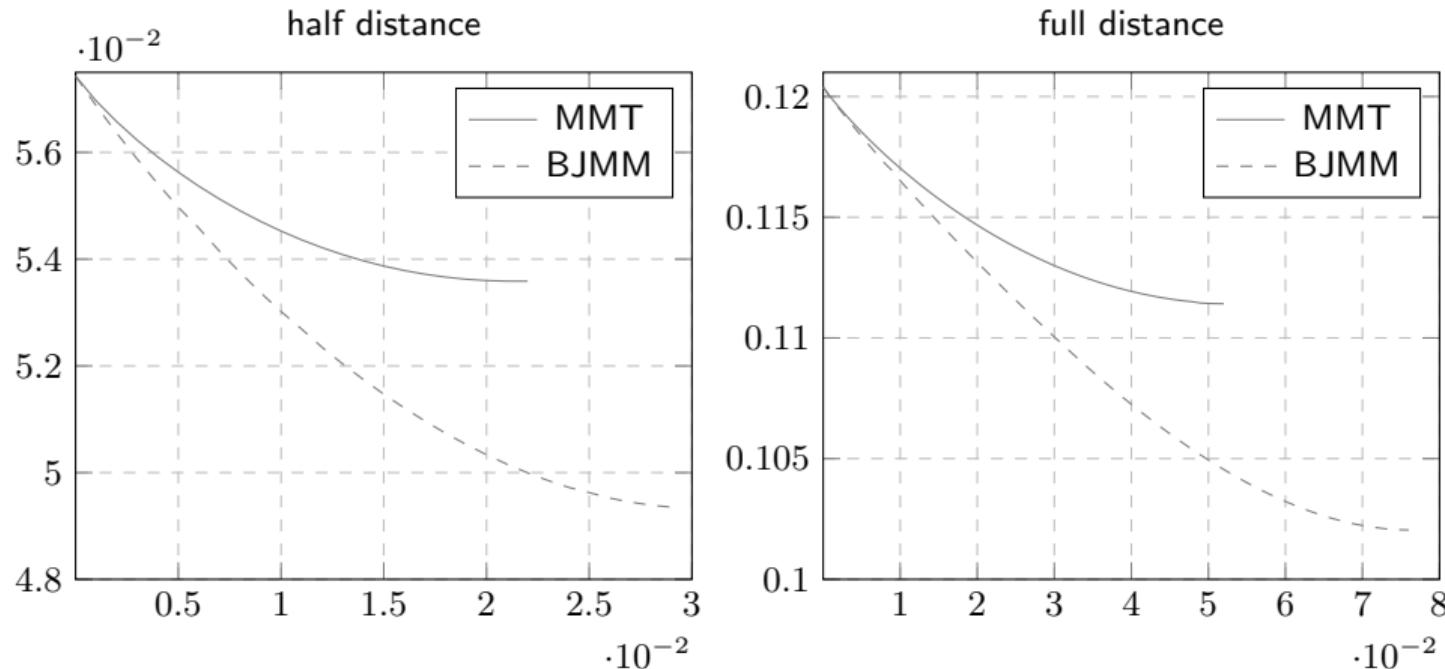
Subset Sum Tradeoff

Basics Decoding

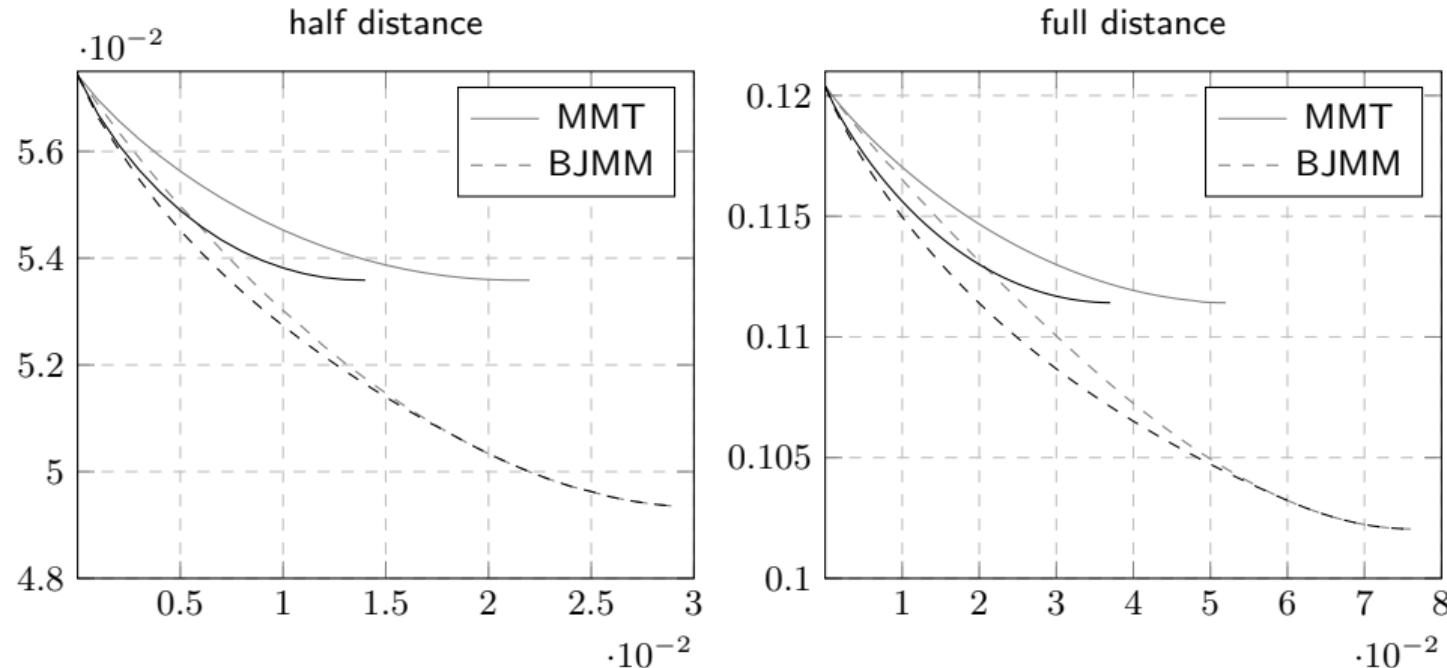
Decoding Tradeoff

Discussion: Quantum Potential

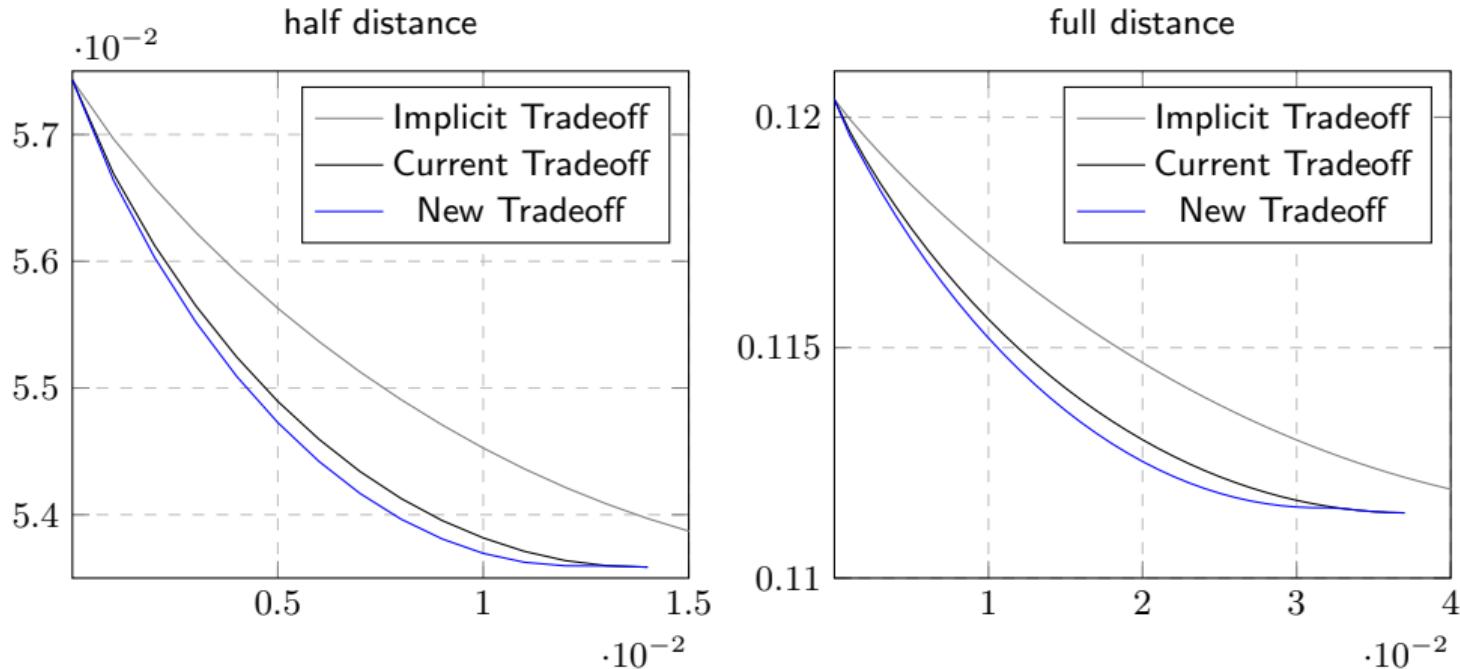
Decoding Tradeoff: Implicit Tradeoff



Decoding Tradeoff: Reuse of already calculated subtrees [EZ23]



MMT Tradeoff: Contribution



- half distance: Improvement of up to $\tilde{\mathcal{O}}(2^{0.000175n})$ / 0.32 %
- full distance: Improvement of up to $\tilde{\mathcal{O}}(2^{0.000492n})$ / 0.43 %
- BJMM: No Improvement

Summary / Outlook

Subset Sum

- Increase depth
- Swap algorithm for base lists construction
- Reuse already calculated subtrees
- BCJ: Improvement of up to $\tilde{\mathcal{O}}(2^{0.0099n})$ / 2.68 %
- BBSS: Improvement of up to $\tilde{\mathcal{O}}(2^{0.0155n})$ / 4.22 %

Decoding

- MMT: Improvement of up to $\tilde{\mathcal{O}}(2^{0.000492n})$ / 0.43 %
 - BJMM: No Improvement
- ⇒ BJMM asymptotically better, MMT used in practice

Open Questions

- Further Applications for new Subset Sum Tradeoff
- Implementation of new MMT variant and analysis

Beware!

Optimal algorithm parameters are in general not optimal for tradeoffs!

Questions?

Optimization Scripts can be found under:

<https://github.com/alexkulpe/time-memory-tradeoffs-for-subset-sum-and-decoding>

Table of Contents

Motivation

Basics Subset Sum

Subset Sum Tradeoff

Basics Decoding

Decoding Tradeoff

Discussion: Quantum Potential

Quantum Potential

Permutations

⇒ Grover

Search for matching vectors

- can be generalized to k -list matching problem

⇒ Quantum Walks

Definition (k -list matching problem)

- **Given:** k equal sized lists L_1, \dots, L_k of binary vectors, function f that decides whether $(v_1, \dots, v_k) \in L_1 \times \dots \times L_k$ "match" or not (output 1 if match, 0 otherwise)
- **Find:** all k -tuples $(v_1, \dots, v_k) \in L_1 \times \dots \times L_k$ s.t. $f(v_1, \dots, v_k) = 1$

Examples:

BJLM13 Combine HGJ with new data structure for quantum walks on Johnson graphs

- Reduce vertex size to get tradeoffs

BBSS20 Quantum Asymmetric HGJ: "nested" quantum search + "quantum filtering"

- Increase Asymmetry to get tradeoffs
- merging with different distributions is more difficult